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<b>Series:</b>	<b>Board Governance</b>	<b>COA: GOV 5, 6</b>
<b>Policy Name:</b>	<b>Board Self-Assessment &amp; Strategic Planning</b>	<b>CFOP:</b>
<b>Policy Number:</b>	<b>GOV 010</b>	
<b>Reviewed Date:</b>	<b>9/15/2014</b>	
<b>Revision #/Date:</b>		
<b>Effective Date:</b>	<b>9/15/2014</b>	
<b>Applicable to:</b>	<b>Board of Directors</b>	

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**PURPOSE:** To define the Board of Directors self-evaluation process and regular review of the organization's strategic direction to ensure its effectiveness, efficiency and compliance with regulatory standards and law.

**POLICY:**

Boards have responsibility to assess periodically and comprehensively the performance of individual board members eligible for reelection or reappointment and the overall board's effectiveness. This process includes an evaluation of the board's composition, process of identifying and recruiting prospective board members, committee structures, meetings, relationships with key constituencies, and overall performance as a Board.

The National Center for Innovation and Excellence Board of Directors will assess its own performance as a board in order to identify its strengths and areas in which it may improve its functioning. While the board sees its role of assessing its performance as ongoing, it sets aside time at its annual retreat to conduct a self-evaluation among its members. More formally the National Center for Innovation and Excellence Board of Directors will submit a written self-assessment minimally every 2 years. This assessment will serve to evaluate the strengths and expertise of Directors and areas for potential member recruitment. The Board of Directors will hold an annual Board Retreat for the purpose of strategic planning, self-assessment, and organizational analysis. The self-assessment will be both a formal method conducted every other year and an informal method conducted ongoing.

The Board of Directors will review the most recent organizational strategic plan and annual goals and objectives. After reviewing the goals of the agency, the board will conduct a self-assessment. A review of the self-assessment and annual goals will provide the framework for the newly established goals and objectives. The board may also review its previous self-assessment summaries.

The purpose of the board self-evaluation is to identify those areas of board functions that are working well and those that may need improvement. The board self-evaluation speaks to the board as a whole, not to individual members. It is the evaluation process of the overall effectiveness of the board as a decision-making group.

**Approved by the National Center for Innovation and Excellence Board of Directors: 2014**

As Approved by the Board of Directors:

Kathleen Rich New  
Board Chair

Signature Date: October 23, 2014

By-Direction of the Chief Executive Officer:

DR. PATRICIA NELLIUS  
Chief Executive Officer

Signature Date: 10/23/14